



PUEBLO OF LAGUNA
TRIBAL GAMING REGULATORY AUTHORITY

P.O. Drawer 225 • Laguna, NM 87026

Phone: (505) 352-8240 | Fax: (505) 352-8241

Dear Contractor Technician Applicant:

Thank you for your interest in applying for a gaming license with the Pueblo of Laguna Tribal Gaming Regulatory Authority ("TGRA"). Before you complete your application, we wish to make you aware of a few facts:

Indian gaming is one of the most scrutinized and highly regulated businesses in this country. An important part of the overall responsibilities of the Laguna Gaming Control Board ("LGCB") and the Tribal Gaming Regulatory Authority ("TGRA") is to assure that Indian gaming and everyone involved with Pueblo of Laguna gaming operations meet stringent standards. We take our regulatory oversight of Indian gaming seriously, including your suitability to hold a gaming license, which is a privilege, not a right.

During the licensing process, a thorough background investigation is conducted which includes review of an applicant's civil, criminal and financial records. If eligibility standards are met, you will be issued a gaming license appropriate to position and consistent with current LGCB rules. To accomplish this, you must be thoroughly honest when completing your licensing application and submit it timely in order for the TGRA to meet all deadlines required by this agency.

A gaming license application submitted by mail requires that certain signatures must be witnessed by a Notary Public. Please note that it is normal for notaries to charge a fee for their services and you are responsible for those fees. No fee is charged for signature verification or notary services completed at the TGRA office. Mailed applications may be returned by the means you deem most expedient. Please note that the TGRA Licensing Department is open Monday through Friday 7:00am to 4:30pm Mountain Time.

All information received by the TGRA is confidential and licensing staff are available to assist you with the application process. You are encouraged to contact them if you require additional information or clarification at the number provided above.

In completing your application for a gaming license, you are required to disclose arrests, civil and criminal summons, charges or convictions of crimes or offenses when you were charged as an adult and within specific periods of time, regardless of the final disposition of your case. **Failure to disclose information is the primary reason individuals are denied a license. In the majority of instances, when the information is disclosed, it does not preclude you from being licensed.**

You may qualify to hold a gaming license if you have a history of bad credit, traffic or criminal violations, but you will be denied if you fail to disclose all required information.

As a reminder, full disclosure is mandatory and no excuse is accepted for your failure to fully disclose required information.

CONDITION OF LICENSING:

As a requirement of the Pueblo of Laguna Tribal Gaming Regulatory Authority:

I, (Print name) _____, understand that it is my responsibility to conduct my personal life in such a manner that does not result in negative publicity and/or consequences to the Pueblo of Laguna and their gaming operations.

I understand that I must disclose, within each applicable period of time as identified on my gaming license application, criminal charges, on-going investigations, arrests, and convictions; or civil and criminal summons; regardless of the final disposition of the case; even if the charges were dropped, dismissed, deferred, or filed Nolle Prosequi.

I understand that as long as I am required to hold a license issued by the TGRA, I must report the following to the Pueblo of Laguna Tribal Gaming Regulatory Authority within the time limits allowed by current LGCB regulations:

1. Change of residence address or contact telephone number.
2. Each criminal charge that is filed against me.
3. Each personal or business civil complaint filed against me.
4. Any case involving bankruptcy filed on my own behalf or where I am named as an additional party.

I further understand the Pueblo of Laguna Tribal Gaming Regulatory Authority retains the right to conduct additional background investigations of any person required to be licensed at their discretion and at any time while the license is valid.

I have read and understand the Pueblo of Laguna Tribal Gaming Regulatory Authority's Condition of licensing form:

Applicant Signature

Date

Print Name

TGRA Representative Signature

Date

Print Name

Contractor Technician Application

APPLICANT INFORMATION:

Last (Please print full name, including middle name)		First	Middle	Date of Birth
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Former names used, Aliases, Maiden Names, etc.: _____

All Languages spoken or written: _____

Sex: ☐ Male ☐ Female

Social Security Number: _____ Place of Birth: _____
City State

Citizenship: ☐ United States ☐ Other If Other, Country of Origin: _____
Registration Number: _____

If you are a naturalized United States Citizen:

Naturalization Date	Certificate Number	Place of Naturalization
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Driver License Number: _____ State Driver's License Issued: _____

Home Phone Number: _____ Work/Alternate Phone Number: _____

Ethnic Identity: ☐ Caucasian ☐ African American ☐ Asian ☐ Native American ☐ Hispanic ☐ Other

If Other, specify: _____

Tribal Affiliation: _____ Census/Roll Number: _____

Are you under the age of 21 at the time of this application? ☐ Yes ☐ No

Will you be logging in remotely? ☐ Yes ☐ No

Will you be coming on site to perform duties? ☐ Yes ☐ No

Email Address: _____

CURRENT ADDRESS:

Physical Address:

Address	City	State	Zip
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Mailing Address if different from above:

Address	City	State	Zip
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RESIDENTIAL HISTORY:

List your other residential addresses for the past 10 years.
If you have more than three to report, provide additional addresses

Address, City, County, State, Zip	Date From	Date To

CHARACTER REFERENCES:

List the names, current addresses and phone numbers of at least three (3) personal references. You are required to *include one (1) personal reference that was acquainted with you during each period of residence listed in the above residential history section.* Do not include family members.

	Name	Address	Phone Number	Relationship	Years Known
1.					
2.					
3.					

MILITARY SERVICE:

Have you served in any Branch of Military?

☐ Yes ☐ No

If "Yes", you must submit a copy of your certificate of release or discharge from active duty (form DD214) within 30 days of this application.

BUSINESS RELATIONSHIPS:

Do you currently have, or have you had, business relationships within the gaming industry or hold any ownership interests or shares of stock in those businesses?

☐ Yes ☐ No

If yes, please list and describe:

Business	Address	Position Held	Ownership Interest
Date License Received	License Expiration Date	Type of License	Number of shares owned

☐ Yes ☐ No

Entity	Address	Position Held	Date of Hire
Entity 1	Address 1	Position 1	Date 1
Entity 2	Address 2	Position 2	Date 2
Entity 3	Address 3	Position 3	Date 3
Entity 4	Address 4	Position 4	Date 4
Entity 5	Address 5	Position 5	Date 5
Entity 6	Address 6	Position 6	Date 6
Entity 7	Address 7	Position 7	Date 7
Entity 8	Address 8	Position 8	Date 8
Entity 9	Address 9	Position 9	Date 9
Entity 10	Address 10	Position 10	Date 10
Entity 11	Address 11	Position 11	Date 11
Entity 12	Address 12	Position 12	Date 12
Entity 13	Address 13	Position 13	Date 13
Entity 14	Address 14	Position 14	Date 14
Entity 15	Address 15	Position 15	Date 15
Entity 16	Address 16	Position 16	Date 16
Entity 17	Address 17	Position 17	Date 17
Entity 18	Address 18	Position 18	Date 18
Entity 19	Address 19	Position 19	Date 19
Entity 20	Address 20	Position 20	Date 20
Entity 21	Address 21	Position 21	Date 21
Entity 22	Address 22	Position 22	Date 22
Entity 23	Address 23	Position 23	Date 23
Entity 24	Address 24	Position 24	Date 24
Entity 25	Address 25	Position 25	Date 25
Entity 26	Address 26	Position 26	Date 26
Entity 27	Address 27	Position 27	Date 27
Entity 28	Address 28	Position 28	Date 28
Entity 29	Address 29	Position 29	Date 29
Entity 30	Address 30	Position 30	Date 30
Entity 31	Address 31	Position 31	Date 31
Entity 32	Address 32	Position 32	Date 32
Entity 33	Address 33	Position 33	Date 33
Entity 34	Address 34	Position 34	Date 34
Entity 35	Address 35	Position 35	Date 35
Entity 36	Address 36	Position 36	Date 36
Entity 37	Address 37	Position 37	Date 37
Entity 38	Address 38	Position 38	Date 38
Entity 39	Address 39	Position 39	Date 39
Entity 40	Address 40	Position 40	Date 40
Entity 41	Address 41	Position 41	Date 41
Entity 42	Address 42	Position 42	Date 42
Entity 43	Address 43	Position 43	Date 43
Entity 44	Address 44	Position 44	Date 44
Entity 45	Address 45	Position 45	Date 45
Entity 46	Address 46	Position 46	Date 46
Entity 47	Address 47	Position 47	Date 47
Entity 48	Address 48	Position 48	Date 48
Entity 49	Address 49	Position 49	Date 49
Entity 50	Address 50	Position 50	Date 50
Entity 51	Address 51	Position 51	Date 51
Entity 52	Address 52	Position 52	Date 52
Entity 53	Address 53	Position 53	Date 53
Entity 54	Address 54	Position 54	Date 54
Entity 55	Address 55	Position 55	Date 55
Entity 56	Address 56	Position 56	Date 56
Entity 57	Address 57	Position 57	Date 57
Entity 58	Address 58	Position 58	Date 58
Entity 59	Address 59	Position 59	Date 59
Entity 60	Address 60	Position 60	Date 60
Entity 61	Address 61	Position 61	Date 61
Entity 62	Address 62	Position 62	Date 62
Entity 63	Address 63	Position 63	Date 63
Entity 64	Address 64	Position 64	Date 64
Entity 65	Address 65	Position 65	Date 65
Entity 66	Address 66	Position 66	Date 66
Entity 67	Address 67	Position 67	Date 67
Entity 68	Address 68	Position 68	Date 68
Entity 69	Address 69	Position 69	Date 69
Entity 70	Address 70	Position 70	Date 70
Entity 71	Address 71	Position 71	Date 71
Entity 72	Address 72	Position 72	Date 72
Entity 73	Address 73	Position 73	Date 73
Entity 74	Address 74	Position 74	Date 74
Entity 75	Address 75	Position 75	Date 75
Entity 76	Address 76	Position 76	Date 76
Entity 77	Address 77	Position 77	Date 77
Entity 78	Address 78	Position 78	Date 78
Entity 79	Address 79	Position 79	Date 79
Entity 80	Address 80	Position 80	Date 80
Entity 81	Address 81	Position 81	Date 81
Entity 82	Address 82	Position 82	Date 82
Entity 83	Address 83	Position 83	Date 83
Entity 84	Address 84	Position 84	Date 84
Entity 85	Address 85	Position 85	Date 85
Entity 86	Address 86	Position 86	Date 86
Entity 87	Address 87	Position 87	Date 87
Entity 88	Address 88	Position 88	Date 88
Entity 89	Address 89	Position 89	

Contact Person

☐ Yes ☐ No

Name & Address of Licensing Agency	License #	Type of License	Status

1. _____
Employer _____ Phone _____

Worked From

2. _____
Employer _____ Phone _____

Worked From

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3. _____
Employer _____ Phone _____

Address (If unknown, please indicate city and state)

Job Title _____ Worked From _____ Worked To _____

Reason for Leaving _____

4. _____
Employer _____ Phone _____

Address (If unknown, please indicate city and state)

Job Title _____ Worked From _____ Worked To _____

Reason for Leaving _____

5. _____
Employer _____ Phone _____

Address (If unknown, please indicate city and state)

Job Title _____ Worked From _____ Worked To _____

Reason for Leaving _____

6. _____
Employer _____ Phone _____

Address (If unknown, please indicate city and state)

Job Title _____ Worked From _____ Worked To _____

Reason for Leaving _____

PRIVACY ACT NOTICE

The purpose of the requested information is to determine the eligibility of individuals to be granted a gaming license. The information will be used by the Tribal Gaming Regulatory Authorities and staff who have need for the information in the performance of their official duties. The information may be disclosed by the tribe to appropriate Federal, Tribal, State, Local or Foreign law enforcement and regulatory agencies when relevant to civil, criminal or regulatory investigations or prosecutions or when pursuant to a requirement by a tribe in connection with the issuance, denial, or revocation of a gaming license, or investigations of activities while associated with a tribe or a gaming operation. Failure to consent to the disclosures indicated in this notice will result in a tribe's being unable to issue a gaming license.

The disclosure of your Social Security Number (SSN) is voluntary. However, failure to supply a SSN may result in errors in processing your application.

I have read and understand this Notice:

Signature

Date

Print Name

NOTICE REGARDING FALSE STATEMENT

A false statement on any part of your license application may be grounds for denying a license or the suspension or revocation of a license.

I have read and understand this notice:

Signature

Date

Print Name

CRIMINAL HISTORY:

Do not rely upon your understanding that an arrest or charge is “not supposed to be” on your record. A criminal record was not cleared, erased, sealed or expunged unless you have a written order from a judge directing that action in your possession.

*An Applicant must disclose a criminal traffic violation when the individual was sentenced to probation, jail, prison, or if you received a deferred judgment, deferred sentence, or probation. Your documentation must include the date you were discharged or released from probation or other supervision.

Please answer each question:

1. Since the age of 18, have you been convicted of a *felony offense, or are you currently involved in an on-going prosecution for a *felony offense? ☐ Yes ☐ No
2. Have you been charged with, convicted of, or are you currently involved in an on-going prosecution for any *misdemeanor offense within the past ten (10) years of the date of this application*? ☐ Yes ☐ No
3. Have you been arrested, served with a criminal summons, bench warrant, restraining order, charged with or convicted of ANY criminal offense in any manner, even if the charges were dismissed or you were found not guilty within the past ten (10) years of the date of this application*? ☐ Yes ☐ No
4. Have you ever been convicted of any gambling related crime or misdemeanor in any jurisdiction? ☐ Yes ☐ No
5. Have you ever refused to cooperate with any legislative body or other official investigatory body involved in the investigation of crimes related to gaming, official corruption or organized crime? ☐ Yes ☐ No

I have thoroughly read and understand the questions listed above, and understand that I may not be able to hold a gaming license if, at any time or in the future, I can answer “Yes” to any of the questions above.

Signature

Date

Print Name

CRIMINAL HISTORY INFORMATION:

Instructions: READ CAREFULLY

On the Section below, list the Date, Charge, Court, and Disposition for each item you answer as "Yes".

- List each ***Felony*** for which there is an on-going prosecution, or conviction since age eighteen.
- List each ***Misdemeanor*** in the ten years prior to the application date for which there is a charge, an ongoing prosecution, or conviction.
- List each ***Criminal traffic charge*** in the ten years prior to the application date, if such charge is not otherwise listed, and there is an ongoing prosecution or conviction.

1.	Date of Charge(s):			
	Type of Charge(s):	☐ Misdemeanor	☐ Felony	☐ Criminal Traffic
	List Charge(s):			
	Name/Address of Court:			
		Court Name (If known)	City & County	State
	Case Disposition(s):			
2.	Date of Charge(s):			
	Type of Charge(s):	☐ Misdemeanor	☐ Felony	☐ Criminal Traffic
	List Charge(s):			
	Name/Address of Court:			
		Court Name (If known)	City & County	State
	Case Disposition(s):			
3.	Date of Charge(s):			
	Type of Charge(s):	☐ Misdemeanor	☐ Felony	☐ Criminal Traffic
	List Charge(s):			
	Name/Address of Court:			
		Court Name (If known)	City & County	State
	Case Disposition(s):			

Provide any additional criminal history

FINANCIAL HISTORY:

Please be advised that in accordance to the Laguna Gaming Control Board ("LGCB") regulations 1017(c)(6), the TGRA may deny a license to any applicant for past due financial obligations that exceed \$2,500 for any one collector or \$5,000 in the aggregate, except that the TGRA may, in its discretion, consider whether those debts are composed of one or more of the following categories and therefore are likely to pose an acceptable level of risk regarding the integrity of Gaming: 1) Medical expenses; 2) Closed delinquent debt; 3) Repossessions; 4) Charge-Offs; or 5) Foreclosures.

Are you delinquent in any of the following:

Filing of any tax return with any taxing agency?

☐ Yes ☐ No

Payment of any taxes, interest, or penalties due to any taxing agency?

☐ Yes ☐ No

Payment of any judgment due to any government agency?

☐ Yes ☐ No

Repayment of any government insured student loans?

☐ Yes ☐ No

Payment of child support?

☐ Yes ☐ No

If you answered "Yes" to any of the questions above, you must provide proof you have taken steps to resolve the financial delinquency before being issued a permanent gaming employee license.

Have you ever filed bankruptcy?

☐ Yes ☐ No

If you answered "Yes", list year filed and year discharged:

You will also be required to provide a copy of the Bankruptcy Discharge papers.

Year Filed

Year Discharged

AFFIRMATION & CONSENT:

I state under penalty of perjury that the entire Gaming License Application Form, statements, attachments, and supporting schedules are true and correct to the best of my knowledge and belief, and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to issue a gaming license by the Tribal Gaming Regulatory Authority. Further, I am aware that later discovery of an omission or misrepresentation made in the above statements may be grounds for the denial or revocation of the license. I am voluntarily submitting this application to the Tribal Gaming Regulatory Authority under oath and with full knowledge that I may be charged with perjury or other crimes for the intentional omissions and misrepresentations pursuant to federal, tribal and other applicable law. I further consent to any background investigation necessary to determine my present and continuing suitability and this consent continues as long as I hold a gaming License, and for a period of ninety (90) days following the expiration or surrender of such license. I also agree that the Tribal Gaming Regulatory Authority, its officer and designee, shall be entitled to collect from me all expenses incurred in recovery of any debt created by this license application, or in pursuing any other remedy provided by law including but not limited to reasonable attorney fees and costs.

Applicant Signature

Date

Print Name

Authorization for Disclosure Internal Revenue Service

Print Full Legal Name:

Last

First

Middle

Social Security Number:

Mailing Address

Street # or P.O. Box

City

State

Zip

Name and Social Security Number of Person(s) who have filed a Joint Tax Return with you within the past 5 years.
(Dependents claimed are not considered a Joint Tax Return).

Print Full Legal Name:

Last

First

Middle

Social Security Number:

I authorize the Internal Revenue Service to disclose tax return information (including, but not limited to, fact of filing, fact of payment, terms of installment agreement) regarding the above returns to the Tribal Gaming Regulatory Authority.

Applicant Signature

Date

Print Name

I, _____		
Full Name - Print Legibly	Social Security Number	Date of Birth

NOTIFICATION

Please carefully read the following and sign your name in the designated area indicating you understand and read the following reminders:

- If you are notified by the TGRA to respond to either a request for additional information or to physically report to the TGRA office you must respond within the allotted time specified. Failure to respond may result in regulatory enforcement action(s).
- The following examples are listed for your information, and are reasons for denial, suspension, revocation, or other gaming license actions that TGRA may impose against an applicant or licensee: Outstanding warrants; felony convictions; failure to disclose civil penalties, criminal history, or tax liens; violations of procedure or LGCB rules; not meeting imposed deadlines failing to submit information requested by the TGRA; or if you have ever had a gaming license revoked, denied, or suspended by another gaming regulatory agency, authority, or commission. The examples listed are not all inclusive and LGCB/TGRA retains the right and authority to conduct an action against a gaming license.
- If you have a change of address while licensed by the TGRA you must provide the new information to the TGRA within ten (10) business days or face regulatory enforcement action.
- You are not allowed to alter, deface or obscure your gaming license in any manner. If you lose your gaming license, you will be required to obtain a replacement license at a cost of \$5.00 from our office.
- Gaming licenses cannot be loaned to anyone. This will result in a regulatory action against both licensees.
- Upon resignation/termination with the licensed contractor, your license must be immediately returned to a TGRA Compliance Office or TGRA Administration Office before any final processing will be forwarded to you. Failure to return your license to the TGRA may result in regulatory enforcement action.
- The Application Fee, Initial license fees, renewal fees, late fees, and renewal of expired license fees are NON-REFUNDABLE.
- Failure to renew your gaming license at least 30 days prior to its expiration will result in a late fee being assessed in addition to the renewal fee. If you allow your gaming license to expire, you are required to apply for a new gaming license and be assessed the fee for renewal of an expired license.

It is your responsibility to ensure your gaming license is current.

Applicant Signature

Date

Print Name

**PUEBLO OF LAGUNA
TRIBAL GAMING REGULATORY AUTHORITY
LICENSING DEPARTMENT**

FULL DISCLOSURE ASSURANCES

Note: If you cannot attest to, truthfully acknowledge, or do not fully understand the statements below you must notify a TGRA licensing investigator for assistance and clarification.

I acknowledge that:

I do not have any other criminal history required to be disclosed such as arrests or detainments, with any law enforcement agency (City, County, Tribal, State, or Federal) or court cases on record of deferred sentencing, dismissed, dropped or Nolle Prosequi in tribal courts or in ANY other Court of Jurisdiction other than what is listed on my gaming license application: _____ Initials

I understand that the phrase “I did not remember” or “I just plain forgot” will not be accepted as an excuse for failing to reveal criminal history: _____ Initials

I understand that the burden of proving my suitability to hold any license is at all times mine alone. I will obtain and provide any required documents requested by the Pueblo of Laguna TGRA within the specified time allotted: _____ Initials

Lastly, I certify that a representative of the Pueblo of Laguna TGRA is available to review this gaming license application with me. I also acknowledge that I have answered all sections in good faith with the full knowledge that I can be denied a Gaming License for not being honest or for failing to disclose any criminal activity in which I have been involved as required within this application: _____ initials

Applicant Signature

Date

Print Name

TGRA Representative Signature

Date

Print Name