



PUEBLO OF LAGUNA
TRIBAL GAMING REGULATORY AUTHORITY

P.O. Box 225 ♦ Laguna, NM 87026

Phone: (505) 352-8240 | Fax: (505) 352-8241

Contractor License Exemption Application

Laguna Gaming Control Board (“LGCB”) Regulations allow the TGRA to issue an exemption to a qualified Person otherwise required to have a Non-Gaming Contractor License or a Construction License in accordance to Section 1004(f). An exemption may be granted to an entity meeting one or more of the following descriptions or criteria, or to an entity having “substantially similar characteristics” as determined in the “sole discretion” of the TGRA. The identified criteria in Section 1004(f) include:

1. Corporations that are publicly traded on a recognized stock exchange with annual gross revenue of more than \$50 million;
2. National or regional chains having ten or more locations in at least five states;
3. Public utilities and communications companies (including cooperatives) whose rates charged to the Gaming Operation are set by tariff or other schedule approved by an independent regulatory entity;
4. National delivery services, including the United States Post Office, United Parcel Service, Fed Ex, and similar entities;
5. Entities wholly owned by Pueblo members if the goods and services provided to all Gaming Operations is reasonably anticipated to be less than \$50,000 in a calendar year;
6. Entertainers and other similar Persons retained, directly or indirectly, by a Gaming Operation to perform on a one-time basis or infrequently for an event of limited duration;
7. Transportation companies retained by a Gaming Operation or Gaming Enterprise to provide service to Patrons at a fixed contract rate;
8. Print, broadcast, internet, satellite, and cable media, including newspapers, magazines, and radio and television stations from which only the physical media or electronic content is purchased;
9. Advertising media, including print publications, broadcast, internet, cable, satellite, and cellular, whose advertising rates are fixed by schedule (even if subject to discounts for volume or otherwise), provided, however, that a license exemption does not relieve the Gaming Operation from obtaining approval of any promotion contained within any advertising, and provided that advertising agencies, advertising brokers, and similar entities are expressly not subject to exemption.
10. The United States, the State of New Mexico, and the Pueblo of Laguna, each as the recipient of payments from Gaming Revenues as required by Applicable Law, the Compact, or agreement.
11. Trade or Interest Group Associations that are registered with a tribal, state or federal government (e.g., the National Indian Gaming Association).
12. Non-profit organizations registered with a tribal, state or national government and which must regularly report their financial condition publicly.
13. Commercial airlines, Amtrak, and other regulated public carriers.
14. Internet merchants who sell standard retail products at generally available prices, provided that purchases are subject to a Gaming Operation protocol intended to identify competitive prices.

If you believe that one or more of the exemption criteria applies, or if you believe your entity has “substantially similar characteristics” to those identified in one or more criteria, please complete the license exemption application below.

Company/Business/DBA Name: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____ Phone: _____

Website (if applicable): _____ Fax: _____

Physical address: _____

City: _____ State: _____ Zip: _____

Federal Tax ID Number _____

Primary business contact information:

Name: _____

Title: _____ Phone: _____ Ext: _____

E-mail address (No personal email address) _____

Please list one or more specific exemptions that you believe are applicable to your entity and describe how it or they apply to your business (attach additional sheets if necessary). Please also include any documents that you believe support your position. This document request is not intended to be onerous. If any document(s) you do submit support your request for an exemption but the TGRA needs additional information to make a determination, we will request it.

If no specific exemption(s) are applicable to your business but you believe your company has “substantially similar characteristics” to one or more of the exemption criteria, please list it below with an explanation of how it relates (attach additional sheets if necessary). If you believe any document(s) support your position, please attach it or them. This document request is not intended to be onerous. If any document(s) you do submit support your request for an exemption but the TGRA needs additional information to make a determination, we will ask for additional information.

By my signature below, I am stating that the information submitted is true and correct. I understand that if the TGRA requires additional information or documentation to make an exemption determination, the TGRA will contact you with that request. If additional information or documentation is not provided when requested, I also understand that the application will be delayed or denied.

Business Owner/CEO Printed Name

Date

Signature